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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/064,892	08/27/2002	Thomas M. Breuel	111744	3616
27074	7590	03/07/2005	EXAMINER	
OLIFF & BERRIDGE, PLC. P.O. BOX 19928 ALEXANDRIA, VA 22320			PAULA, CESAR B	
			ART UNIT	PAPER NUMBER
			2178	

DATE MAILED: 03/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/064,892	<b>Applicant(s)</b> BREUEL ET AL.	
	<b>Examiner</b> CESAR B. PAULA	<b>Art Unit</b> 2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 December 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 August 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>12/04</u> | 6) <input type="checkbox"/> Other: _____  |

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### **DETAILED ACTION**

1. This action is responsive to the application, and IDSs filed on 8/27/2002, 9/24/2003, and 12/29/2004 respectively.

**This action is made Non-Final.**

2. Claims 1-28 are pending in the case. Claims 1, 14, and 16 are independent claims.

### ***Information Disclosure Statement***

3. The information disclosure statements (IDS) submitted on 9/24/2003, and 12/29/2004 have been entered, and considered by the Examiner.

### ***Oath/Declaration***

4. The Oath/Declaration is missing from the case. Please submit.

### ***Priority***

5. Acknowledgment is made of applicant's claim for domestic priority under 35 U.S.C. 119(e), and based on U.S provisional application # 60/360,171 filed on 3/1/2002, which papers have been placed of record in the file.

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***Drawings***

6. The drawings filed on 8/27/2002 have been approved by the Examiner.

***Claim Rejections - 35 USC § 102***

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claims 1-28 are rejected under 35 U.S.C. 102(e) as being anticipated by Formanek et al, hereinafter Formanek (USPub.# 2003/0014445, 1/16/2003, filed 7/13/01, as disclosed in IDS filed on 9/24/03).

Regarding independent claim 1, Formanek discloses decomposing a document image in a format, such as a bitmap format—*deconstructing a document in a page image format --* (0029).

Moreover, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks—*synthesizing the deconstructed document into an intermediate data structure --* (0032, fig. 3b).

Furthermore, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display—*distilling the intermediate data structure for redisplay in a format usable for an arbitrarily sized display structure --* (0030, 0034, fig. 4).

Regarding claim 2, which depends on claim 1, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks—*identifying text image areas and non-text image areas, and locating and isolating text and non-text image areas* . A software identifies the locations, height and width—*layout properties--* of text and graphic blocks—*processing the isolated text image areas and non-text image areas into text line regions and layout properties-* (0032, fig. 3b).

Furthermore, Formanek discloses surrounding each word with a bounding block for identifying the location of the word, and graphics—*processing located text line regions into segmented image elements; and locating and labeling segmented image elements --* (0032, 0033, fig. 3b).

Regarding claim 3, which depends on claim 2, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks—*physical segmentation of data --* (0032, fig. 3b).

Regarding claim 4, which depends on claim 2, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks (0032, fig. 3b).

Regarding claim 5, which depends on claim 1, Formanek discloses that as a result of the decomposition the document image turns into a document divided with image blocks based upon

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the image location, width and height— *converting non-text image areas, Layout properties and segmented image areas into the intermediate data structure--* (0032-0033, fig. 3b).

Regarding claim 6, which depends on claim 2, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display—*intelligible display layout --* (0030, 0034, fig. 4).

Regarding claim 7, which depends on claim 6, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks. The text blocks show segmented word images in a reading order (0032-0033, fig. 3c).

Regarding claim 8, which depends on claim 1, Formanek discloses the storage of the bitmap image blocks in a processing device (0030).

Regarding claim 9, which depends on claim 1, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display. The reflowed document is displayed in a readable manner, such as a single format (0030, 0034, fig. 4).

Regarding claim 10, which depends on claim 1, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display. The

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document is retrieved over the Internet, reformatted, and displayed—*Internet browsable format* -  
- (0027, 0034, fig. 4).

Regarding claim 11, which depends on claim 1, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display—*device specific display format* -- (0027, 0034, fig. 4).

Regarding claim 12, which depends on claim 1, Formanek discloses the *reflowing* of the decomposed document to fit into the size, such as width—*screen size* --, of a target device's display (0027, 0034, fig. 4).

Regarding claim 13, which depends on claim 1, Formanek discloses the reflowing of the decomposed text in the document to fit into the size, such as width, of a target device's display (0027, 0034, fig. 4).

Regarding independent claim 14, Formanek discloses identifying block positions of various text, and image regions, and decomposing a document image in a format, such as a pdf format,—*analyzing page layout, converting a sequence of page images into a sequence of document elements images captured in a tagged format* -- (0029, 0032, fig. 3b).

Furthermore, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display—*re-converting the tagged format*. The

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document is retrieved over the Internet, reformatted, and displayed—*Internet browsable format* -  
- (0027, 0034, fig. 4).

Regarding claim 15, which depends on claim 14, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks. The text blocks show segmented word images in a reading order (top to bottom text organization) similar to that of the original document (0032-0033, fig. 3c).

Regarding claim 17, which depends on claim 16, Formanek discloses that as a result of the decomposition the document image is divided into image blocks—*deconstruct the document into image areas*. A bounding block surrounds each word by identifying the location of the word, and graphics-- *segmented image elements*. A software identifies the locations, height and width—*layout properties*-- of text and graphic blocks (0032-0033, fig. 3b).

Moreover, Formanek discloses that as a result of the decomposition the document image turns into a document divided with image blocks—*synthesizes the non-text image areas, the layout properties, and the set of segmented image elements into an intermediate data structure* -- (0032-0033, fig. 3b).

Furthermore, Formanek discloses the reflowing of the decomposed document to fit into the size, such as width, of a target device's display—*distilling the intermediate data structure for redisplay in a format usable for an arbitrarily sized display structure* -- (0030, 0034, fig. 4).



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Claims 16, 18-20, and 22-27 are directed towards a computer system for implementing the steps found in claims 1, 3, 6-7, and 10-15 respectively, and therefore are similarly rejected.

Regarding claim 21, which depends on claim 16, Formanek discloses the storage of the bitmap image blocks—*deconstructed document*-- in a processing device (0030).

Regarding claim 28, which depends on claim 26, Formanek discloses that as a result of the decomposition the document image is divided into image and text blocks—*segmentation algorithm* --. A software identifies the locations of text and graphic blocks—*structure analyzer*-(0032, fig. 3b).

### ***Conclusion***

I. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Kanevsky (Pat. # 6,300,947), and Hill et al. (Pat. # 6,023,714).

II. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cesar B. Paula whose telephone number is (571) 272-4128. The examiner can normally be reached on Monday through Friday from 8:00 a.m. to 4:00 p.m. (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong, can be reached on (571) 272-4124. However, in such a case, please allow at least one business day.

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Any response to this Action should be mailed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Or faxed to:

- (703) 703-872-9306, (for all Formal communications intended for entry)



**CESAR PAULA**  
**PRIMARY EXAMINER**

3/3/05